

GP1744



Attorney Docket No.: 463037-00220/A-68718-4RFT/RMS/RMK

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

BLACKBURN et al.

Serial No. 09/993,342

Filed: November 05, 2001

For: DEVICES AND METHODS FOR
BIOCHIP MULTIPLEXING

Examiner: Not Yet Assigned

Group Art Unit: 1744

CERTIFICATE OF MAILING

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Dated:

Signed:

8.15.02
Christine P. Peters
Christine P. Peters

INFORMATION DISCLOSURE STATEMENT
AND STATEMENT OF RELATEDNESS

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In satisfaction of the duty of disclosure under 37 C.F.R. § 1.56, and in accordance with the provisions of 37 C.F.R. §§ 1.97 and 1.98, Applicant wishes to draw the attention of the U.S. Patent and Trademark Office to the references cited on the accompanying form PTO-1449. Because of the voluminous number of references they are being forwarded to the PTO in 2 boxes. Box number 1 contains all the foreign patents and box number 2 contains all of US patents and other references. A copy of this IDS and the 1449 are enclosed in each box.

With respect to patent applications, the applicants point out their duty under M.P.E.P. §2001.06(b) to disclose relevant patent applications of which they are aware. To this end, the applicants draw the Examiner's attention to the following patent applications;

1. United States Serial Number 09/760,384, filed January 11, 2001 and U.S.S.N. 09/904,175, filed July 11, 2001.
2. U.S.S.N. 09/295,691, filed April 21, 1999.

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3. U.S.S.N. 09/397,957, filed September 17, 1999.

Further, in accordance with the provisions of 37 C.F.R. §§ 1.97(c) and 1.97(e)(1), the undersigned certifies that references 73, 93, 120, 132-134, and 141-142 listed on the enclosed form PTO-1449 were cited in an International Search Report dated October 05, 2001, for a counterpart PCT application. References 73, 93, 132, 134-135, 141-143 listed on the enclosed form PTO-1449 were cited in an International Search Report June 05, 2002, for a counterpart PCT application. Copies of both International Search Reports are enclosed herewith.

None of the foregoing references are believed to disclose the invention as claimed. Nothing herein shall constitute an admission concerning the contents of any of the cited references, nor shall the inclusion of a reference herein be considered an admission that the reference constitutes prior art against the invention claimed in the above-identified application. Submission of the present document shall not be construed as an admission that a search has been made or that better art does not exist.

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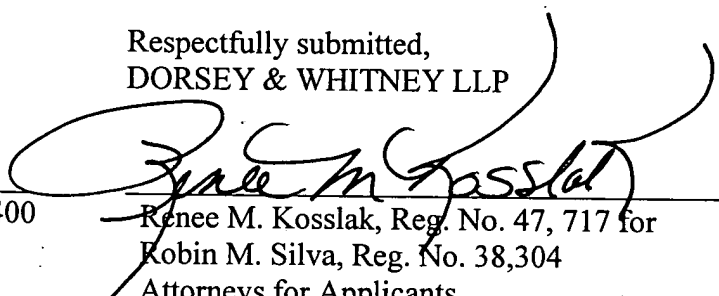
SERIAL NO: 09/993,342
DATE: November 05, 2001

This Information Disclosure Statement is being filed within three months of the filing date of a national application, within three months of the date of entry of a national stage, or before the mailing date of a first Office Action on the merits, 37 C.F.R. § 1.97(b), and therefore no fee is required. The Commissioner is authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2319

(Our Order No. 463037-00220 [A-68718-4/RFT/RMS/RMK]).

Respectfully submitted,
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Robin M. Silva, Reg. No. 38,304
Attorneys for Applicants
Filed under 37 C.F.R. § 1.34(a)

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Sheet

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of

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Application Number

09/993,342

Filing Date

November 05, 2001

First Named Inventor

BLACKBURN, et al.

Group Art Unit

1744

Examiner Name

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	1	4,704,193		Bowers et al.	11/1987	
	2	4,707,352		Stavrianopoulos	11/1987	
	3	4,707,440		Stavrianopoulos	11/1987	
	4	4,711,955		Ward et al.	12/1987	
	5	4,755,458		Rabbani et al.	7/1988	
	6	4,787,963		MacConnell	11/1988	
	7	4,840,893		Hill et al.	6/1989	
	8	4,849,513		Smith et al.	7/1989	
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	11	4,882,013		Turner et al.	11/1989	
	12	4,943,523		Stavrianopoulos	7/1990	
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	14	4,952,685		Stavrianopoulos	8/1990	
	15	4,964,972		Sagiv et al.	10/1990	
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	18	5,837,859		Teoule et al.	11/1998	

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GROUP 1700**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ² (if known)				
	19	EP	0 234 938	A2	Cranfield Inst. of Tech.	2/1987		
	20	EP	0 229 943	B1	Molecular Biosystems Inc.	7/1987		
	21	EP	0 599 337	A2	Canon Kabushiki Kaisha	1/1994		
	22	EP	0 063 879	A2	Yale University	11/1982		
	23	EP	0 515 615		Boehringer Mannheim	9/1996		
	24	CA	2 090 904	A1	F. Hoffman-La Roche	9/1993		
	25	JP	238,166	A	Mitsubishi Corp.	1988	abstract	
	26	JP	6-41183	A2	Mitsubishi Corp.	1994		

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Date Considered

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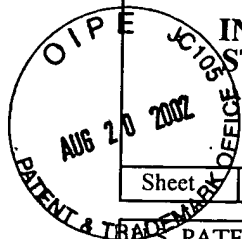
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Sheet 2

of 15

Complete if Known

Application Number	09/993,342
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First Named Inventor	BLACKBURN, et al.
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		Number	Kind Code ² (if known)			
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	28	5,013,831		Stavrianopoulos	5/1991	
	29	5,066,372		Weetall	11/1991	
	30	5,082,830		Brakel et al.	1/1992	
	31	5,089,112		Skotheim et al.	02/1992	
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	34	5,180,968		Bruckenstein et al.	01/1993	
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	41	5,391,272		O'Daly et al.	02/1995	
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	46	5,632,957		Heller et al.	05/1997	

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		Office ³	Number ⁴	Kind Code ² (if known)				
	47	WO	90/05732	A1	Columbia Univ.	5/1990		
	48	WO	92/10757	A1	Boehringer Mannheim	6/1992		
	49	WO	93/10267	A1	IGEN, Inc.	5/1993		
	50	WO	94/22889	A1	Cis Bio International	10/1994		
	51	WO	95/15971	A2	Calif. Inst. of Technology	6/1995		
	52	WO	96/40712	A1	Calif. Inst. of Technology	12/1996		

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		Number	Kind Code ² (if known)			
	53	5,705,346		Okamoto et al.	01/1998	
	54	5,705,348		Meade et al.	1/1998	
	55	5,741,700		Ershov et al.	4/1998	
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	57	5,770,369		Meade et al.	6/1998	
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	59	5,776,672		Hashimoto et al.	7/1998	
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		Office ³	Number ⁴	Kind Code ² (if known)				
	66	WO	97/01646	A2	Univ. of N. Carolina	1/1997		
	67	WO	97/44651	A1	AU Membrane and	11/1997		
	68	WO	97/27329	A1	Univ. of Chicago	7/1997		
	69	WO	98/20162	A2	Clinical Micro Systems	5/1998		
	70	WO	98/27229	A1	Univ. of Chicago	6/1998		
	71	WO	98/28444	A2	Univ. of Chicago	7/1998		
	72	WO	98/35232	A2	Univ. of N. Carolina	8/1998		
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	77	5,824,473		Meade et al.	10/1998	
	78	5,851,772		Mirzabekov et al.	12/1998	
	79	5,952,172		Meade et al.	9/1999	
	80	5,976,802		Ansorge et al.	11/1999	
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		Office ³	Number ⁴	Kind Code ² (if known)				
	93	WO	97/41425	A1	Pence Inc.	11/1997		
	94	WO	99/37819	A2	Clinical Micro Systems	07/1999		
	95	WO	86/05815	A1	Genetics International	03/1985		
	96	WO	93/22678	A3	MIT	11/1993		
	97	WO	97/31256	A3	Cornell Research	08/1997		
	98	WO	98/51823	A1	Mosaic Technology	11/1998		
	99	WO	99/57319	A1	Clinical Micro Systems	11/1999		
	100	WO	99/29711	A1	Nanogen Inc.	06/1999		

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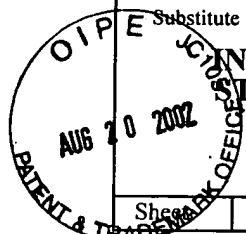
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	123	5,552,270		Khrapko et al.	9/1996	
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	129	5,472,881		Beebe et al.	12/1995	
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	133	5,741,462		Nova et al.	04/1998	
	134	5,866,345		Wilding et al.	02/1999	
	135	6,114,122		Besemer et al.	09/2000	
	136	5,064,618		Baker et al.	11/1991	
	137	5,727,548		Hill et al.	03/1998	
	138	5,505,321		Caron et al.	04/1996	
	139	5,728,532		Ackley	03/1998	
	140	5,694,932		Michel	12/1997	

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	141	WO	00/62931	A1	Clinical Micro Sensors	10/2000		
	142	WO	98/05424	A1	Caliper Technologies	02/1998		
	143	WO	99/33559	A1	Cepheid	07/1999		
	144	WO	98/31839	A2	President and Fellows of Harvard College	07/1998		

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7 of 15

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	145	Aizawa et al., "Integrated Molecular Systems for Biosensors," Sensors and Actuators (Nos 1/3) Part 1:1-5 (March 1995).	
	146	Albers et al., "Design of Novel Molecular Wires for Realizing Long-Distance Electron Transfer," Biochemistry and Bioenergetics, 42:25-33 (1997).	
	147	Alleman, K.S., et al., "Electrochemical Rectification at a Monolayer-Modified Electrode," J. Phys. Chem., 100:17050-17058 (1996).	
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Sheet 8

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Attorney Docket Number A-68718-4/RFT/RMS/RMK

Application Number 09/993,342

Filing Date November 05, 2001

First Named Inventor BLACKBURN, et al.

Group Art Unit 1744

Examiner Name Not Yet Assigned

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
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Application Number	09/993,342
Filing Date	November 05, 2001
First Named Inventor	BLACKBURN, et al
Group Art Unit	1744
Examiner Name	Not Yet Assigned
Attorney Docket Number	A-68718-4/RFT/RMS/RMK

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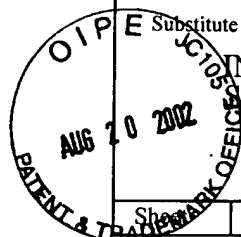
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Application Number	09/993,342
Filing Date	November 05, 2001
First Named Inventor	BLACKBURN, G. A.
Group Art Unit	1744
Examiner Name	Not Yet Assigned
Attorney Docket Number	A-68718-4/RFT/RMS/RMK

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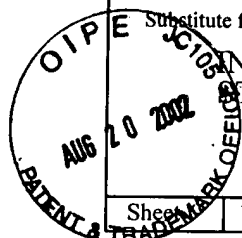
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Application Number	09/993,342
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First Named Inventor	BLACKBURN, et al.
Group Art Unit	1744
Examiner Name	Not Yet Assigned
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/993,342
		Filing Date	November 05, 2001
		First Named Inventor	BLACKBURN, et al.
		Group Art Unit	1744
		Examiner Name	Not Yet Assigned
Sheet 3 of 15	Attorney Docket Number	A-68718-4/RFT/RMS/MLK	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	244	Schumm, et al., "Iterative Divergent/Convergent Approach to Linear Conjugated Oligomers by Successive Doubling of the Molecular Length: A Rapid Route to a 128 □-Long Potential Molecular Wire," <i>Angew. Chem. Int. Ed. Engl.</i> , 33(11):1360-1363 (1994).	
	245	Sigal et al., "A Self-Assembled Monolayer for the Binding and Study of Histidine-Tagged Proteins by Surface Plasmon Resonance," <i>Anal. Chem.</i> , 68(3):490-497 (1996).	
	246	Sloop et al., AMetalloorganic labels for DNA sequencing and mapping," <i>New. J. Chem.</i> , 18: 317-326 (1994).	
	247	Southern, et al., "Arrays of complementary oligonucleotides for analysing the hybridisation behaviour of nucleic acids," <i>Nucleic Acids Research</i> , 22(8):1368-1373 (1994).	
	248	Storhoff et al., AOne-Pot Colorimetric Differentiation of Polynucleotides with Single Base Imperfections Using Gold Nanoparticles Probes," <i>J. Am. Chem. Soc.</i> , 120:1959-1964 (1998).	
	249	Strobel, S. A., et al., "Site-Specific Cleavage of a Yeast Chromosome by Oligonucleotide-Directed Triple-Helix Formation," <i>Science</i> , 249:73-75 (1990).	
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	251	Telser, J., et al., "DNA Oligomers and Duplexes Containing a Covalently Attached Derivative of Tris(2,2'-bipyridine)ruthenium(II): Synthesis and Characterization by Thermodynamic and Optical Spectroscopic Measurements," <i>J. Am. Chem. Soc.</i> , 111:7221-7226 (1989).	
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	255	Tour, "Conjugated Macromolecules of Precise Length and Constitution. Organic Synthesis for the Construction of Nanoarchitectures," <i>Chem. Rev.</i> , 96:537-553 (1996).	
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	257	Tullius, T.D. and B.A. Dombroski, AIron(II) EDTA Used to Measure the Helical Twist Along Any DNA Molecule," <i>Science</i> , 230:679-681 (1985).	
	258	Turro, N. J., et al., "Molecular Recognition and Chemistry in Restricted Reaction Spaces. Photophysics and Photoinduced Electron Transfer on the Surfaces of Micelles, Dendrimers, and DNA," <i>Acc. Chem. Res.</i> , 24:332-340 (1991).	
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STATEMENT BY APPLICANT

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Filing Date	November 05, 2001
First Named Inventor	BLACKBURN, et al.
Group Art Unit	1744
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	259	Turro, N., et al. "Photoelectron Transfer Between Molecules Adsorbed in Restricted Spaces," <i>Photochem. Convers. Storage Sol. Energy, Proc. Int. Conf., 8th</i> , pp 121-139 (1990).	
	260	Uosake, K., et al., AA Self-Assembled Monolayer of Ferrocenylalkane Thiols on Gold as an Electron Mediator for the Reduction of Fe(III)-EDTA in Solution," <i>Electrochimica Acta</i> , 36(11/12):1799-1801 (1991).	
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	262	Velev et al., AIn Situ Assembly of Colloidal Particles into Miniaturized Biosensors," <i>The ACS Journal of Surfaces and Colloids</i> , <i>Langmuir</i> , 15(11):3693-3698 (1999).	
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	267	Xu, et al., "Immobilization and Hybridization of DNA on an Aluminum(III) Alkanebisphosphonate Thin Film with Electrogenenerated Chemiluminescent Detection," <i>J. Am. Chem. Soc.</i> , 117:2627-2631 (1995).	
	268	Xu, et al., "Immobilization of DNA on an Aluminum(III) alkanebisphosphonate Thin Film with Electrogenenerated Chemiluminescent Detection," <i>J. Am. Chem. Soc.</i> , 116:8386-8387 (1994).	
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	272	Boon et al., AMutation Detection by Electrocatalysis at DNA- Modified Electrodes," <i>Nature Biotechnology</i> , 18: 1096-1100 (October 2000).	

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Sheet 15	of	15	RECEIVED SEP 17 2002 GROUP		

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